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&  
Director, Institute of Asian-Pacific Business Law  
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EDUCATION:

HARVARD LAW SCHOOL, Cambridge, Massachusetts  
Juris Doctor, *cum laude*, June 1984

YALE UNIVERSITY, New Haven, Connecticut  
Bachelor of Arts in History, *summa cum laude*, May 1981

Honors: Phi Beta Kappa  
Distinction in History  
Bates Summer Traveling Fellowship (funded thesis research in Great Britain)

AMERICAN SCHOOL OF CLASSICAL STUDIES, Athens, Greece  
Summer Session, July-August 1979

FULL-TIME EMPLOYMENT:

Jan.2006-  
Present THE WILLIAM S. RICHARDSON SCHOOL OF LAW  
UNIVERSITY OF HAWAI'I AT MANOA, Honolulu, Hawai'i  
Professor of Law, August 1, 2007. Associate Professor, Jan. 1, 2006 – July 31, 2007.  
Teaching Asian-Pacific Insolvency Law, Second Year Seminar (with a focus on  
Asian-Pacific Commercial Law topics), Corporate Reorganization, Secured  
Transactions, Equitable Remedies, and Legal Practice.

Founding Director, Institute of Asian-Pacific Business Law (IAPBL) (May  
2006-Present) (<http://www.iapbl.com>); Chair, Pacific-Asian Legal Studies (PALS)  
Committee (Feb. 2011-Present)

Aug. 1989-  
Dec. 2005 FACULTY OF LAW  
DEPARTMENT OF PROFESSIONAL LEGAL EDUCATION  
UNIVERSITY OF HONG KONG, Hong Kong  
Substantiated (tenured), July 1, 1992. Associate Professor (Retitled from Lecturer in  
Law), Apr. 11, 1997.

Director, Asian Institute of International Financial Law (AIIFL) (Dec. 2000-Dec.  
2005) (<http://www.aiifl.com>)  
Acting Dean (Jan. 27 - Apr. 30, 1999).  
Associate Dean (July 1, 1996 – Jan. 26, 1999; May 1 - June 30, 1999).

Administration: As AIIFL Director, responsible for the administration of the Institute's  
activities (research, conference, CLE, and fundraising). As Acting Dean, responsible  
for the general administration of the Faculty, including the Research Assessment  
Exercise and a submission to the Hong Kong legislature regarding legal education. As  
Associate Dean, responsible for the Faculty's postgraduate law programs (LLMs,

diplomas, MPhil, PhD, and SJD) and fundraising and jointly responsible for Faculty publications.

Teaching: Comparative and Transnational Insolvency Law, Current Issues in Insolvency Law, Asian Insolvency Law, PRC Security and Insolvency Law, Hong Kong Insolvency Law, Hong Kong Business Associations, and Hong Kong Commercial Law and Practice.

- Aug. 1986 -  
June 1989 THE WILLIAM S. RICHARDSON SCHOOL OF LAW  
UNIVERSITY OF HAWAII AT MANOA, Honolulu, Hawai'i  
Assistant Professor of Law. Taught Debtors' and Creditors' Rights, Remedies, Commercial Transactions, Negotiable Instruments, and Legal Methods Seminar.
- Nov. 1984 -  
June 1986 CLEARY, GOTTlieb, STEEN & HAMILTON, New York City  
Associate. Practiced in the areas of bankruptcy and corporate law.
- Summer 1983 SIMPSON THACHER & BARTLETT, New York City  
Summer Associate.
- Summer 1982 CAMERON MARKBY & THE INNS OF COURT, London, England  
Summer Articled Clerk for firm of solicitors and Pupil in barristers' chambers.

#### PART-TIME EMPLOYMENT & ADVISORY POSITIONS:

- Apr. 1, 2009-  
Present SNR DENTON (formerly SONNENSCHN NATH & ROSENTHAL LLP)  
New York City  
Senior Advisor. Advising its Asia-Pacific Practice, Financial Crisis Situations Group, and Corporate Restructuring Group.
- Fall 1995 -  
Summer 2005 DUKE UNIVERSITY SCHOOL OF LAW  
ASIA-AMERICA HONG KONG INSTITUTES IN TRANSNATIONAL LAW  
<http://www.law.duke.edu/intemat/hkg/>  
Summers, 1996-2005. HKU Academic and Administrative Program Director (co-sponsored by the HKU Faculty of Law). Co-taught the Comparative and Transnational Insolvency course in 1996.
- Fall 1990 -  
Summer 1991 BENJAMIN N. CARDOZO SCHOOL OF LAW/YESHIVA UNIVERSITY  
CARDOZO INTERNATIONAL INSTITUTE ON LAW, TRADE AND  
SOCIAL CHANGE, SUMMER INSTITUTE IN HONG KONG  
Special Visiting Professor of Law and Program Director. On-site academic and administrative program director (co-sponsored by the HKU Faculty of Law). Co-taught The New World Economy: Policy and Practice course.
- Sept. 1987 -  
May 1988 GELBER & GELBER, Honolulu, Hawai'i  
Of Counsel. Consulted on bankruptcy and secured transactions issues.

#### PART-TIME LAW REFORM, PROFESSIONAL TRAINING & SYMPOSIUM PROJECTS:

- July 2011-  
Feb. 2012 EUROPEAN BANK FOR RECONSTRUCTION AND DEVELOPMENT (EBRD)  
Member of the four-person team chosen by the EBRD to evaluate its Legal Transition Programme for the period from 2001-2010. Was responsible for the insolvency, secured transactions and judicial capacity sections. As part of this project, met with EBRD employees in London and conducted field research in Budapest, Moscow, St. Petersburg and Belgrade in July-Aug. 2011. The team prepared a 103-page report (plus appendices) for the Bank's Board of Directors.

June 2011- Present	<p><b>WORLD BANK</b> Member of the four-person Drafting Group heading up the World Bank Insolvency and Creditor/Debtor Regimes Task Force Working Group on the Treatment of the Insolvency of Natural Persons. Co-drafted the 97-page Draft Report on the Treatment of Insolvency of Natural Persons, which was discussed by the Working Group at a meeting at the World Bank in Washington, DC, from November 17-18, 2011. Preparing the final draft for presentation to the Working Group in 2012.</p>
Jan. 2009- Present	<p><b>WORLD BANK</b> Member, World Bank Insolvency and Creditor/Debtor Regimes Task Force Working Group on Insolvency of Non-Bank Financial Institutions. Participated in workshops held in Washington, DC, from Jan. 12-13, 2009 &amp; Jan. 10-11, 2011.</p>
Dec. 2008- July 2009	<p><b>ORGANISATION FOR ECONOMIC CO-OPERATION AND DEVELOPMENT (OECD)</b> Consultant. Prepared a policy paper on the lessons to be learned from a review of insolvency law reforms in Asia in the aftermath of the 1997 Asian financial crisis.</p>
Mar. 2007- Mar. 2010	<p><b>ASIAN DEVELOPMENT BANK (ADB)</b> Bankruptcy and Insolvency Law Expert. Advised the Vanuatu Financial Services Commission on personal bankruptcy and corporate insolvency law reform as part of an Institutional Legal Reforms project. Made 8 trips and to, and spent roughly 3 1/2 months in, Vanuatu meeting with and conducting workshops for stakeholders. Drafted a diagnostic report, preliminary recommendations, and a Discussion Paper, and was responsible for the drafting of new Personal, Corporate, and Cross-Border Insolvency Acts, which have not yet been enacted.</p>
Dec. 2003- Aug. 2004	<p><b>HARVARD LAW SCHOOL, PROGRAM ON INTERNATIONAL FINANCIAL SYSTEMS, FIRST ANNUAL CHINA-US SYMPOSIUM</b> Consultant. Assisted with the organization of the Symposium on Building the Financial System of the 21<sup>st</sup> Century: An Agenda for China &amp; the United States, co-sponsored with the China Development Research Foundation. Served as Reporter at the Symposium held in Beijing in June 2004, and wrote a summary of the Symposium proceedings.</p>
June 2002	<p><b>AMERICAN BAR ASSOCIATION-UNITED NATIONS DEVELOPMENT PROGRAMME (ABA-UNDP) INTERNATIONAL LEGAL RESOURCES CENTER (ILRC), Bankruptcy Expert.</b> Advised the Vietnamese Ministry of Justice on insolvency law reform, conducted four days of workshops in Hanoi, Vietnam (organized by the Ministry of Justice) on the new draft Vietnamese bankruptcy law, including two days for the Vietnamese bankruptcy law drafting committee, and drafted comments (37 pp.) and final recommendations (27 pp.).</p>
April 2002	<p><b>INTERNATIONAL REPUBLICAN INSTITUTE</b> Foreign Expert (one of four). Advised the Finance and Economic Committee of the PRC National People's Congress on insolvency law reform, participated in Beijing in a two-day International Forum on the Enterprises Bankruptcy and Reorganization Law (co-sponsored by the Finance and Economic Committee of the PRC National People's Congress and the Institute for Asia and Pacific Studies) and a two-day workshop with the PRC bankruptcy law drafting committee, and co-drafted final recommendations (46 pp.).</p>
June 2001- 2002	<p><b>WORLD BANK</b> Co-Project Manager with Professor Wang Wei Guo (of the China University of Politics and Law in Beijing (CUPL) on a project for the China State Economy and Trade Commission (SETC) on the out-of court restructuring of State-Owned</p>

Enterprises. Responsible for the international and comparative dimensions of the project, established an Insolvency Experts Panel (including 28 Experts from 14 jurisdictions) and drafted Chapter 3 (25 pp.) of the Study on Alternative Approaches for the Debt Restructuring of Enterprises in China.

June 2001-  
2009

WORLD BANK

Member of the four-person team (headed by Professor Jay L. Westbrook) that drafted a Comparative Survey of International Commercial Enforcement & Insolvency Practices for the World Bank in relation to the World Bank's Insolvency Principles and Guidelines. This comparative study led to the publication of A Global View of Business Insolvency Systems (World Bank & Martinus Nijhoff Publishers, 2010).

Sept. 1999 -  
June 2006 &  
May 2009-  
Present

HONG KONG INSTITUTE OF CERTIFIED PUBLIC ACCOUNTANTS  
(HKICPA) (formerly the HONG KONG SOCIETY OF ACCOUNTANTS (HKSA))

Co-designer and co-director of the Diploma in Insolvency Course, which is recognized by the Hong Kong Official Receiver's Office. Lecturing on cross-border insolvency and personal insolvency law.

Sept. 1998 -  
2000

ASIAN DEVELOPMENT BANK (ADB)

Local Consultant for Hong Kong & General Consultant for the ADB Asian Insolvency Law Reform Project (Regional Technical Assistance Project No. 5795-Reg.). Drafted the Local Study of Insolvency Law Regimes: Report on Hong Kong, China and the Report on the Proceedings of the ADB's Joint Symposium on Insolvency and Secured Transactions.

PUBLICATIONS:

BOOKS:

Charles D. Booth & Edward Tyler, Hong Kong Personal Insolvency Manual (2<sup>nd</sup> edition) (Hong Kong: HKICPA, 2010) (454 pp.) (reviewed at [IRI September10\\_Kraft \(kraft\\_book\\_review.pdf\)](#)).

Jay Westbrook, Charles D. Booth, Christoph Paulus & Harry Rajak, A Global View of Business Insolvency Systems (World Bank & Martinus Nijhoff Publishers, 2010) (300 pp.) (reviewed at [IRI September10\\_Kraft \(kraft\\_book\\_review.pdf\)](#)).

Stephen Briscoe & Charles D. Booth, Hong Kong Corporate Insolvency Manual (2<sup>nd</sup> edition) (Hong Kong: HKICPA, 2009) (370 pp.).

Charles D. Booth, Philip Smart & Stephen Briscoe, Hong Kong Personal Insolvency Manual (Hong Kong: HKSA, 2003) (392 pp.) (reviewed in 35 HONG KONG LAW JOURNAL 252-253 (2005)).

Philip Smart, Charles D. Booth & Stephen Briscoe (eds.), Hong Kong Corporate Insolvency Manual (Hong Kong: HKSA, 2002) (245 pp.) (reviewed in 33 HONG KONG LAW JOURNAL 711-712 (2003)).

Charles D. Booth (ed.), Hong Kong Commercial Law: Current Issues and Developments (Hong Kong: Hong Kong University Press, 1996) (230 pp.); also author of *Introduction* (pp. 1-4) and *Transnational Insolvency Law*, Ch. 3 (pp. 55-101) (a condensed and updated version of 2 SOUTHWESTERN JOURNAL OF LAW & TRADE IN THE AMERICAS 1 (1995)).

ACADEMIC JOURNAL ARTICLES AND BOOK CHAPTERS:

Charles D. Booth & Trevor N. Lain, Rescuing Hong Kong Companies with Provisional Supervision: Proposals that Workers and Management Can Support, 40 HONG KONG LAW JOURNAL 271-292 (2010).

Charles D. Booth, *Asian Corporate Insolvency Law Reform in the Aftermath of the 1997 Financial*

*Crisis: The Need for the Continued Development of Effective Insolvency Infrastructures* (work-in-progress) (est. pp. 65).

Philip Smart, Charles D. Booth & Stephen Briscoe, *Investigation of Insolvent Companies in Hong Kong: A Corporate Governance Crisis*, 39 HONG KONG LAW JOURNAL 59-63 (2009), available at [http://ssrn.com/abstract\\_id=1432958](http://ssrn.com/abstract_id=1432958).

Charles D. Booth, *The 2006 PRC Enterprise Bankruptcy Law: The Wait is Finally Over*, 20 SINGAPORE ACADEMY OF LAW SPECIAL ISSUE 275-315 (2008), available at [http://ssrn.com/abstract\\_id=1547464](http://ssrn.com/abstract_id=1547464).

Philip Smart, Stephen Briscoe & Charles D. Booth, *Insolvent Liquidation in Hong Kong: A Crisis of Confidence*, (2007) 4(5) INTERNATIONAL CORPORATE RESCUE 263-275 (UK), available at [http://ssrn.com/abstract\\_id=1552228](http://ssrn.com/abstract_id=1552228).

Douglas W. Arner, Charles D. Booth, Paul Lejot & Berry F. Hsu, *Property Rights, Collateral, Creditor Rights and Insolvency in East Asia*, 42 TEXAS INTERNATIONAL LAW JOURNAL 515-560 (USA, 2007) (an updated and revised version of 17 EUROPEAN BUSINESS LAW REVIEW 1215 (2006), available at [http://ssrn.com/abstract\\_id=1367537](http://ssrn.com/abstract_id=1367537)).

Charles D. Booth, Philip Smart & Stephen Briscoe, Chapter 12, *Corporate Rescue in Hong Kong*, in Rodrigo Olivares-Caminal (ed.), Expedited Debt Restructuring: An International Comparative Analysis, pp. 291-315 (The Netherlands: Wolters Kluwer, 2007).

Charles D. Booth, Zhang Xian Chu & Wendy Chiu, *The People's Republic of China*, Chapter 18, in Collier International Business Insolvency Guide, pp. 18-1 to 18-64 (LexisNexis, 2006).

Douglas W. Arner, Charles D. Booth, Berry F. Hsu & Paul Lejot, *Property Rights, Collateral, Creditor Rights and Financial Development*, 17 EUROPEAN BUSINESS LAW REVIEW 1215-1240 (The Netherlands, 2006) (adapted from publication immediately below).

Douglas W. Arner, Charles D. Booth, Berry F. Hsu, Paul Lejot, Qiao Liu & Frederick Pretorius, *Property Rights, Collateral and Creditor Rights in East Asia*, Part III.B, in Ismail Dalla (ed.) East Asian Finance: Selected Issues (World Bank, 2006, CD), 43 pp., and parts incorporated into Swati R. Gosh, East Asian Finance: The Road to Robust Markets (World Bank, 2006).

Charles D. Booth & Robert E. McBain, *Trends and Developments in Insolvency Systems and Risk Management: The Experience of Hong Kong*, Credit Risk and Credit Access in Asia, pp. 181-193 (Paris: OECD, 2006).

Charles D. Booth, *Corporate Reorganization in Hong Kong: Can Provisional Liquidation Come to the Rescue?* 2(2) JOURNAL OF RESTRUCTURING FINANCE 183-188 (Singapore, 2005) (an updated and revised version of the discussion of corporate rescue in *Recent Developments in Corporate and Cross-Border Insolvency Law in Hong Kong* forthcoming in publication two below).

Charles D. Booth, *Drafting Bankruptcy Laws in Socialist Market Economies: Recent Developments in China and Vietnam*, 18(1) COLUMBIA JOURNAL OF ASIAN LAW 93-147 (USA, 2004), available at [http://ssrn.com/abstract\\_id=1367537](http://ssrn.com/abstract_id=1367537).

Charles D. Booth, *Recent Developments in Corporate and Cross-Border Insolvency Law in Hong Kong*, in Insolvency Risk Management: Standards and Strategies for the Next Decade (est. 12 pp.) (World Bank, forthcoming).

Charles D. Booth & Wendy Chiu, *A Comparison of the Draft Bankruptcy Laws of the People's Republic of China and Vietnam*, in Insolvency Risk Management: Standards and Strategies for the Next Decade (est. 10 pp.) (World Bank, forthcoming).

Philip Smart & Charles D. Booth, *Cross-Border Insolvency and the Discharge of Debts*, 20(4)

INSOLVENCY LAW & PRACTICE 147-150 (UK, 2004), available at <http://ssrn.com/abstract=1548087>.

Charles D. Booth, *Current Trends in Consumer Insolvency in Hong Kong*, Chapter 9, in Johanna Niemi-Kiesilainen, Iain Ramsey & William C. Whitford (eds.), Consumer Bankruptcy in Global Perspective 187-202 (Oxford and Portland, Oregon: Hart Publishing, 2003); modified version also published as *Introduction to Personal Insolvency in Hong Kong*, Chapter 1, in Hong Kong Personal Insolvency Manual (2003).

Charles D. Booth, *Rights, Powers and Duties of the Debtor and Creditors in Insolvency Proceedings in Hong Kong*, 2 ICFAI JOURNAL OF INTERNATIONAL BUSINESS LAW 74-82 (Journal of the Institute of Chartered Financial Analysts of India, 2003), available at <http://ssrn.com/abstract=1548064>.

Charles D. Booth, *Securitization in Emerging Markets, Including Government Promotion of Securitization: A Comment on Hill and Arner*, 12(2) DUKE JOURNAL OF COMPARATIVE & INTERNATIONAL LAW 533-536 (USA, 2002), available at <http://ssrn.com/abstract=1547447>.

Co-participant with George M. Kelakos, Saturo Murase, Arnold M. Quittner & Mark Sterling, *Corporate/Debt Restructuring Roundtable: Japan, The Hong Kong SAR & the People's Republic of China – A Roundtable Discussion*, 10 AMERICAN BANKRUPTCY INSTITUTE LAW REVIEW 1-28 (2002), available at <http://ssrn.com/abstract=1529824>.

Philip Smart & Charles D. Booth, *Corporate Rescue: Hong Kong Developments*, 10 AMERICAN BANKRUPTCY INSTITUTE LAW REVIEW 41-45 (2002) (updated version of 1 BANKING TODAY 32-33 (Sept./Oct. 2001)), available at <http://ssrn.com/abstract=1532372>.

Zhang Xian Chu & Charles D. Booth, *Chinese Bankruptcy Law in an Emerging Market Economy: The Shenzhen Experience*, 15 COLUMBIA JOURNAL OF ASIAN LAW 1-32 (USA, 2001), available at <http://ssrn.com/abstract=1532376>.

Philip Smart & Charles D. Booth, *Reforming Corporate Rescue Procedures in Hong Kong*, JOURNAL OF CORPORATE LAW STUDIES 485-499 (UK, Dec. 2001), available at <http://ssrn.com/abstract=1547462>.

Zhang Xian Chu & Charles D. Booth, *Beijing's Initiative on Cross-Border Insolvency: Reflections on a Recent Visit of Hong Kong Professionals to Beijing*, 31 HONG KONG LAW JOURNAL 312-323 (2001); republished in 10 AMERICAN BANKRUPTCY INSTITUTE LAW REVIEW 29-39 (USA, 2002), available at <http://ssrn.com/abstract=926665>.

Philip Smart & Charles D. Booth, *Provisional Supervision and Workers' Wages: An Alternative Proposal*, 31 HONG KONG LAW JOURNAL 188-199 (2001), available at <http://ssrn.com/abstract=926659>.

Charles D. Booth, *Hong Kong Insolvency Law Reform: Preparing for the Next Millennium*, Norton's Annual Survey on Bankruptcy (USA, 2000) (est. 30 pp.); republished in JOURNAL OF BUSINESS LAW 126-156 (UK, 2001); available at <http://iiiglobal.org/country/hongkong.html>.

Charles D. Booth & Philip Smart, *The New Avoidance Powers under Hong Kong Insolvency Law: A Move from Territoriality to Extraterritoriality*, 34 INTERNATIONAL LAWYER 255-65 (USA, 2000); republished in 17(5) NATIONAL INSOLVENCY REVIEW 54-63 (Canada, Oct. 2000), available at <http://ssrn.com/abstract=1547484>.

Charles D. Booth, *When Government Intervenes: Winding Up Fraudulent Companies in Hong Kong*, Analysis, 29 HONG KONG LAW JOURNAL 368-92 (1999); republished in 4 RECEIVERS, ADMINISTRATORS & LIQUIDATORS QUARTERLY 41-71 (UK, 2000), available at <http://ssrn.com/abstract=1547455>.

Charles D. Booth & Philip Smart, *Retrospective or Prospective? Determining the Scope of Hong*

*Kong's New Insolvency Law*, 8 INTERNATIONAL INSOLVENCY REVIEW 27-37 (UK, 1999), available at <http://ssrn.com/abstract=1548077>.

Charles D. Booth, *Hong Kong Corporate Rescue Proposals: Making Secured Creditors More Secure*, Analysis, 28 HONG KONG LAW JOURNAL 44-59 (1998); republished in 14(4) INSOLVENCY LAW & PRACTICE 248-55 (UK, August 1998), available at <http://ssrn.com/abstract=1547482>.

Charles D. Booth, *Leaping forward to 1997: Bankruptcy Law Reform in Hong Kong*, 6 INTERNATIONAL INSOLVENCY REVIEW 183-209 (UK, 1997), available at <http://ssrn.com/abstract=1548071>.

Charles D. Booth, *The Effect of 1997 on Hong Kong's Law of Transnational Corporate Insolvency*, 7 AUSTRALIAN JOURNAL OF CORPORATE LAW 206-26 (1997) (a condensed and revised version of 34 COLUMBIA JOURNAL OF TRANSNATIONAL LAW 389 (USA, 1996)), available at <http://ssrn.com/abstract=1548073>.

Charles D. Booth, *Living in Uncertain Times: The Need to Strengthen Hong Kong Transnational Insolvency Law*, 34 COLUMBIA JOURNAL OF TRANSNATIONAL LAW 389-447 (USA, 1996), available at <http://ssrn.com/abstract=1532380>.

Charles D. Booth & Philip Smart, *PRC Corporations, Garnishee Orders and Transnational Insolvency*, Comment, 11(5) JOURNAL OF INTERNATIONAL BANKING LAW 216-17 (UK, May 1996), available at <http://ssrn.com/abstract=1548080>.

Charles D. Booth, *The Transnational Aspects of Hong Kong Insolvency Law*, 2 SOUTHWESTERN JOURNAL OF LAW & TRADE IN THE AMERICAS 1-80 (USA, 1995); republished as Ch. 29, in Vol. 3, Practising Law Institute Commercial Law and Practice Course Handbook, No. A-738 (USA, Apr. 1996), available at <http://ssrn.com/abstract=1547445>.

Charles D. Booth, *The Old Age Pension Scheme: A Tax by Any Other Name . . .*, Comment, 24 HONG KONG LAW JOURNAL 319-27 (1994), available at <http://ssrn.com/abstract=1547467>.

Charles D. Booth, *Recent Developments in Hong Kong Bankruptcy Law Reform*, 2 INTERNATIONAL INSOLVENCY REVIEW 120-50 (UK, 1993), available at <http://ssrn.com/abstract=1548063>.

Charles D. Booth, *Transnational Insolvency: Cross-border Co-operation between the United States and Hong Kong (In re Axona International Credit & Commerce Ltd)*, Case Comment, 23 HONG KONG LAW JOURNAL 131-40 (1993), available at <http://ssrn.com/abstract=1547466>.

Charles D. Booth, *Recognition of Foreign Bankruptcies: An Analysis and Critique of the Inconsistent Approaches of United States Courts*, 66 AMERICAN BANKRUPTCY LAW JOURNAL 135-232 (1992); republished as Ch. 30, in Vol. 3, Practising Law Institute Commercial Law and Practice Course Handbook, No. A-738 (USA, Apr. 1996), available at <http://ssrn.com/abstract=1532402>.

Charles D. Booth, *A History of the Transnational Aspects of United States Bankruptcy Law Prior to the Bankruptcy Reform Act of 1978*, 9 BOSTON UNIVERSITY INTERNATIONAL LAW JOURNAL 1-38 (USA, 1991), available at <http://ssrn.com/abstract=1529823>.

Charles D. Booth & Donald J. Lewis, *Liwan District Construction Company v. Euro-America China Property Limited*, Case Digest, 4(6) CHINA LAW & PRACTICE 27-34 (Hong Kong, 1990).

Charles D. Booth, *Recognition of Foreign Bankruptcies in a Transnational Setting: An Analysis of the Laws of the United States*, Vol. 1, Ch. 18, pp. 18-1 to 18-54, in Ved Nanda (ed.), The Law of Transnational Business Transactions (USA, Clark Boardman Co. Ltd., July 1988); revision, Vol. 1B, Ch.18, pp.18-1 to 18-70 (Clark Boardman Callaghan, Oct. 1989); 1989 revision republished in Japanese, 17 KOKUSAI SHOJI HOMU (JOURNAL OF THE JAPANESE INSTITUTE OF INTERNATIONAL BUSINESS LAW) 711-28, 839-58 (Hideyuki Sakai and Shinjiro Takagi, trans.) (1989).

Charles D. Booth, *The Cramdown on Secured Creditors: An Impetus Toward Settlement*, 60 AMERICAN BANKRUPTCY LAW JOURNAL 69-108 (1986), available at <http://ssrn.com/abstract=1532388>.

#### PROFESSIONAL JOURNAL ARTICLES:

Charles D. Booth, *Editor's Note – China: Where are we in the Economic Cycle? – The New Chinese Bankruptcy Law* and Philip Smart, Stephen Briscoe & Charles Booth, *Insolvent Liquidation: A Corporate Governance Disaster*, Highlight Article, INSOL ELECTRONIC NEWSLETTER (Nov. 2007).

Charles D. Booth, *Editorial and The Continuing Need for Real Property and Secured Transactions Law Reform in Asia*, Highlight Article, INSOL ELECTRONIC NEWSLETTER (3 pp.) (Feb. 2007).

Charles D. Booth, *The Race of Two Tortoises: Insolvency Law Reform in Hong Kong and China*, 2(2) ABA CHINA LAW REPORTER 3-6 (Nov. 2006); republished in INSOL ELECTRONIC NEWSLETTER (Jan. 2007).

Charles D. Booth, *Consumer Bankruptcy in Hong Kong: Record Level of Filings is Likely to Continue*, HONG KONG LAWYER 72-78 (English), 79-84 (Chinese) & on the web at <http://www.hk-lawyer.com/2002-8/Default.htm> (Aug. 2002).

Philip Smart & Charles D. Booth, *Corporate Rescue: Hong Kong's New Legislation*, 1 BANKING TODAY 32-33 (HK, Sept./Oct. 2001); republished in GLOBAL TURNAROUND (UK, Mar. 2002).

Charles D. Booth, *Chinese Insolvency Law: Developing an Insolvency Infrastructure*, Symposium Report, INSOL WORLD 5-6 (UK, March 2001); republished in Global Watch Section, 10 INTERNATIONAL INSOLVENCY REVIEW 74-77 (UK, 2001) & in IPBA JOURNAL 13-15 (Hong Kong, 2001); abbreviated version published in INSOLVENCY LAW BULLETIN 129 (Australia, Mar. 2001).

Philip St. J. Smart & Charles D. Booth, *Hong Kong*, Global Watch Section, 9 INTERNATIONAL INSOLVENCY REVIEW 237-238 (UK, 2000); republished as *Hong Kong Corporate Rescue: Recent Developments*, in IPBA JOURNAL 16-17 (Hong Kong, Sept. 2000) & in International Scene Section, ABI JOURNAL 24 (USA, Nov. 2000), & in INSOLVENCY LAW BULLETIN (Australia 2001).

Philip Smart & Charles D. Booth, *Corporate Rescue: This Year, Next Year . . .*, HONG KONG LAWYER 50-55 (English), 55-58 (Chinese) & on the web at <http://www.hk-lawyer.com/2000-6/Default.htm> (June 2000); republished as *Corporate Rescue in Hong Kong: This Year, Next Year . . .*, GLOBAL INSOLVENCY & RESTRUCTURING REVIEW 13-17 (UK, Mar. 2001).

Philip Smart & Charles D. Booth, *New Insolvency Law: Traps and Gaps*, HONG KONG LAWYER 62-64 (English), 64-66 (Chinese) & on the web at <http://www.hk-lawyer.com/1999-1/Default.htm> (Jan. 1999).

Charles D. Booth, *Hong Kong Bankruptcy Law Reform*, INSOL WORLD 13 (UK, March 1998) & on the web at <http://www.insol.org/newinsolworld/mar98/nlmar98fepr.html>; republished in HONG KONG ACCOUNTANT 101-2 (March/April 1998).

Charles D. Booth, *Bankruptcy Law Reform*, Opinion, THE NEW GAZETTE 8 (Hong Kong, Aug. 1995).

#### REPORTS & WEB-BASED PUBLICATIONS:

Charles D. Booth, Philip Smart, Stephen Briscoe & Wendy Chiu, *Insolvency Case Study: Hong Kong*, posted on the World Bank's website at <http://rru.worldbank.org/doingbusiness/TopicReports/ClosingABusiness/Contact.aspx?regionid=43>, 28 pp. (2002; uploaded Mar. 2004); data incorporated into Doing Business in 2004: Understanding Regulation (World Bank, the International Finance Corporation, & Oxford University Press, 2004). Data from a further 11 pp. update, Charles D. Booth, Philip Smart and Stephen Briscoe (June 2005) incorporated into Doing Business in 2005: Understanding Regulation, from a further 6 pp. update (May



2006) incorporated into Doing Business in 2006: Understanding Regulation, and from a further update (June 2007) incorporated into Doing Business in 2007: Understanding Regulation.

Charles D. Booth, Comments and Suggestions on the Draft Bankruptcy Law of Vietnam and an Overview of Recent Developments in the Hong Kong SAR and the PRC, ABA-UNDP International Legal Resources Center, 36 pp. (June 2002).

Charles D. Booth, John Lees, Henry Pitney & Charles Tabb, Comments and Suggestions on the Draft Bankruptcy Law of the People's Republic of China, International Republican Institute, 46 pp. (Apr. 2002).

Wang Weiguo & Charles D. Booth, Study on Alternative Approaches for Debt Restructuring of Enterprises in China, World Bank Report for the State Economy and Trade Commission of China (English, 125 pp. & Chinese, 82 pp.) (China, 2001) (responsible for Part III *International Comparisons on Approaches of Debt Restructuring of Distressed Enterprises*, pp. 69-93).

Charles D. Booth, Report on the Proceedings of the ADB's Joint Symposium on Insolvency and Secured Transactions, October 26-27, 1999, Manila, Philippines, posted on the ADB's website at [http://www.insolvencyasia.com/Local\\_studies/Report%20on%20Joint%20Symposium.htm](http://www.insolvencyasia.com/Local_studies/Report%20on%20Joint%20Symposium.htm), 18 pp. (Apr. 2000).

Charles D. Booth, Local Study of Insolvency Law Regimes: Report on Hong Kong, China, posted on the ADB's website at [http://www.insolvencyasia.com/insolvency\\_law\\_regimes/hongkong/index.html](http://www.insolvencyasia.com/insolvency_law_regimes/hongkong/index.html), 118 pp. (Mar. 1999).

#### BOOK REVIEWS:

Charles D. Booth, Corporate Insolvency Law: Perspectives and Principles – by Vanessa Finch (2002), 34(1) HONG KONG LAW JOURNAL 214-218 (2004).

Charles D. Booth, Collier Bankruptcy Compensation Guide – by Stanley B. Bernstein and Lawrence P. King (eds.) (1988), 1(3) BOWKER'S LEGAL PUBLISHING PREVIEW 6-7 (Apr. 1989).

Charles D. Booth, Commercial Loan Documentation Guide – by Joseph J. Norton and Terry W. Conner (eds.) (1988), 1(3) BOWKER'S LEGAL PUBLISHING PREVIEW 7 (Apr. 1989).

#### IN MEMORIAM:

Andrew J. Halkyard & Charles D. Booth, In Memoriam: Philip St. John Smart, 1961-2008, 38 HONG KONG LAW JOURNAL 321-323 (2008); republished in 18 INTERNATIONAL INSOLVENCY REVIEW 1-3 (UK, 2009), available at <http://ssrn.com/abstract=1552179>.

#### NEWSPAPER ARTICLES:

Charles D. Booth, Letter to the Editor, If we want prosperity to return, root for the Yankees, HONOLULU STAR ADVERTISER, Oct. 6, 2011.

Charles D. Booth, Breaking the grip of debt: Fast-track discharge could ease Hong Kong's growing bankruptcy burden, Analysis, SOUTH CHINA MORNING POST, BUSINESS 2, p. 3 (Nov. 4, 2002).

Charles D. Booth, Education Vital to Maintain the Rule of Law, Analysis, SOUTH CHINA MORNING POST 19 (Nov. 18, 1999).

#### MEDIA:

TV:

*Asia in Review*, `Olelo Community Station 54, Hawaii – Featured on 30-minute segment on Insolvency Law in Hong Kong and China, Nov. 2, 2010

Press:

*Legislating for Troubles Times*, Executive Profile of Charles D. Booth, by Caroline Biebuyck, COMPANY SECRETARY 24-27 (Hong Kong: June 2002).

#### IAPBL SYMPOSIA & SEMINAR SUMMARIES:

Charles D. Booth & Elizabeth Chan, *Entrepreneurialism, Risk Assessment & Private Investment in China*, October, 18, 2007, posted on the IAPBL website at <http://www.iapbl.com>.

Charles D. Booth & Elizabeth Chan, *Asian-Pacific Corporate Insolvency Law: Cross-Border and Comparative Perspectives – A Summary of the Inaugural IAPBL Symposium*, April 10, 2007, posted on the IAPBL website at <http://www.iapbl.com>.

#### ADDITIONAL LAW REFORM AND LEGISLATIVE SUBMISSIONS AND ACTIVITIES:

Informal consultant to the Hong Kong Financial Services and the Treasury Bureau regarding Hong Kong insolvency law reform (2009-present).

Submission with Trevor N. Lain to the Hong Kong Financial Services and Treasury Bureau in relation to its consultation paper entitled *Review of Corporate Rescue Procedure Legislative Proposals*, 16 pp., Apr. 15, 2010 (revised and published as 40 HONG KONG LAW JOURNAL 271 (2010)).

Team member, World Bank project, East Asia Regional Financial Sector Development Flagship Study: Property Rights, Collateral and Creditor Rights in East Asia (Nov. 2005-June 2006).

Involved in UNCITRAL Legislative Drafting Guide on Insolvency Law Project, Represented the International Insolvency Institute (III) at the UNCITRAL session at the United Nations in NYC, July 27, 2001; Co-chair, III Task Force on Creditors and Claims (Feb.-July 2001); and participated in the UNCITRAL session in Vienna, Austria, Dec. 4-6, 2000.

Senior Consulting Expert (in the area of insolvency law), the Evaluation (Mid-Term Review) of the European Bank for Reconstruction and Development's Legal Transition Programme) (June-July 2001).

Contributor, International Insolvency Institute Submission on the World Bank Report on Insolvency (author of Systemic Crises in Emerging Markets section), Feb. 2001.

Co-organizer with Philip Smart and participant, Workshop on A New Proposal for Provisional Supervision and Workers' Wages, Hong Kong Faculty of Law, Oct. 26, 2000 (revised and published as 31 HONG KONG LAW JOURNAL 188 (2001)).

Member of The Law Reform Commission of Hong Kong Sub-Committee on Debt Collection, participated in the drafting of the Sub-Committee's Consultation Paper on the Regulation of Debt Collection Practices, July 2000.

Member of the Hong Kong Society of Accountants Insolvency Practitioners Committee (HKSA IPC) Ad Hoc Working Party on the Companies (Amendment) Bill 2000, participated in the drafting of the Submission of the HKSA IPC to the Hong Kong Legislative Council Bills Committee on the Companies (Amendment) Bill 2000, March 2000.

Invited Submission (as Acting Dean) on behalf of the HKU Faculty of Law to the Hong Kong Legislative Council Panel on Administration of Justice and Legal Services – on legal education, 10 pp. (text only), Apr. 13, 1999, & invited presentation to the Panel, June 5, 1999.

Joint Submission with Philip Smart to the Hong Kong Law Reform Commission Sub-Committee on Insolvency – on the winding-up provisions of the Companies Ordinance, 28 pp., Dec. 7, 1998 (published as 8 INTERNATIONAL INSOLVENCY REVIEW 27 (1999) and 34 INTERNATIONAL LAWYER 255 (2000)).

Invited Submission to the U.S. National Bankruptcy Conference Working Group on the UNCITRAL Recommendations – on the proposed new Chapter 6 (Cross-Border Insolvency) of the U.S. Bankruptcy Code, 5 pp., Feb. 3, 1998. Also submitted to the Minority General Counsel, Majority Counsel, and Minority Professional Staff of the U.S. House Judiciary Committee and to the Minority Chief Counsel and Majority Counsel of the U.S. Senate Judiciary Committee.

Submission to the Law Reform Commission of Hong Kong Sub-Committee on Insolvency – on Hong Kong cross-border insolvency law reform, 6 pp. (text only), Feb. 21, 1997 & invited presentation to the Sub-Committee, May 6, 1997.

Submission to the Australian Law Reform Commission – on the Commission's circular entitled *Cross border civil remedies*, 2 pp., Mar. 15, 1996.

Submission to the Education and Manpower Branch, Hong Kong Government – on the government's pension consultation paper, 9 pp., Oct. 28, 1994 (revised and published as 24 HONG KONG LAW JOURNAL 319 (1994)).

Submission to the Law Reform Commission of Hong Kong Sub-Committee on Insolvency – on proposed bankruptcy legislation, 16 pp. (text only), Oct. 15, 1993.

#### RESEARCH GRANTS:

##### Group:

Co-investigator, HK\$666,000 (US\$85,900) grant from the HKU Research Committee Seed Funding for Strategic Research Theme for *Public Law and Public Policy Strategic Research Area: Corporate and Financial Law and Policy Research Theme* to support research based in AIFL and at HKU on corporate and financial policy, May 2005-Oct. 2006; one of 8 co-investigators; Principal Investigator was Douglas Arner.

Co-investigator, HK\$200,000 (US\$25,800) grant from the HKU Research Committee Seed Funding for Strategic Research Theme for *China Studies Strategic Research Area: Business and Law Theme* to support research based in AIFL on China's on-going corporate and financial reforms, May 2005-Oct. 2006; one of 5 co-investigators.

Principal Investigator, HK\$520,000 (US\$67,000) grant from the Hong Kong Research Grants Council – to research *Moving from a planned economy to a market economy: the development of a new insolvency system in mainland China and its cross-border impact*, Dec. 31, 2001- June 30, 2004. Co-investigators were Zhang Xian Chu, Philip Smart & Prof. Wang Wei Guo (CUPL, Beijing).

Co-investigator, HK\$88,500 (US\$11,400) grant from the University of Hong Kong Committee on Research and Conference Grants (HKU CRCG) – to research *Insolvency law and practice in Shenzhen and its effect on business operations in the Hong Kong SAR*, July 1, 1998 - June 30, 2000; Co-investigator with Philip Smart, Principal Investigator was Say Goo.

##### Individual:

HK\$4,000 (US\$500) grant from the HKU CRCG – to research “1997 and Hong Kong and PRC transnational insolvency,” Spring 1995.

US\$6,000 grant from the Research and Training Revolving Fund, University of Hawaii at Manoa – traveled to Japan, China, and Singapore to research transnational bankruptcy law, to meet with local lawyers and academics, and to lecture on United States law, Summer 1988.

US\$5,000 grant from the Research and Training Revolving Fund, University of Hawaii at Manoa – traveled to Japan, Hong Kong, and England to research transnational bankruptcy law, to meet with local lawyers and academics, and to lecture on United States law, Summer 1987.

#### ACADEMIC VISITS:

Visiting Professor, taught Comparative Insolvency Law, Global Business Law Course, Faculty of Law and Management, La Trobe University, Melbourne, Australia, Jan. 17-21, 2011.

Parsons Visitor in the Faculty of Law, University of Sydney, Sydney, Australia, March 10-15, 2005.

Visitor, Ewha Womans University, Seoul, Korea, May 8-11, 2002.

Visiting Professor, University of Wisconsin Law School, Madison, WI, Nov. 12-15, 2001.

Visiting Colleague, The William S. Richardson School of Law, University of Hawai'i at Manoa, HI, Dec. 13-19, 1999.

Visitor, Duke University School of Law, Durham, NC, Nov. 13-15, 1995.

Scholar-in-Residence, Benjamin N. Cardozo School of Law, Yeshiva University, New York, NY, Dec. 1992.

#### INVITED CONFERENCE PAPERS AND PRESENTATIONS:

Speaker, A Cautionary Tale, Canadian Companies Operating in the People's Republic of China and Challenges for Restructuring Panel, Canadian Annual Review of Insolvency Law, Vancouver, Feb. 10, 2012.

Speaker, Closing the Implementation Gap Panel, 8<sup>th</sup> Forum on Asian Insolvency Reform (FAIR), World Bank, INSOL, Bank Negara, Kuala Lumpur Malaysia, Nov. 30-Dec. 1, 2011.

Speaker, *Developing Effective Insolvency Infrastructures in ASEAN Countries: Laying the Foundation for Cross-Border Insolvency Cooperation*, The 2<sup>nd</sup> CILS Conference 2011: International Conference on Southeast Asian's Role in Sustainable Development, Faculty of Law, Gadjah Mada University, Yogyakarta, Indonesia, Nov. 22, 2011.

Chair/Co-chair for three panels, World Bank, *World Bank Meeting of the Working Group on the Insolvency of Natural Persons* Washington, DC, Nov. 17-18, 2011.

Speaker, *Cross-Border Insolvency Cases with Hawaii's Trading Partners: Post-1997 Developments in China, Japan and Hong Kong*, Hawaii Bankruptcy Bar Association, Honolulu, Nov. 4, 2011.

Co-speaker with Carole J. Petersen, *Foreclosure and Alternative Dispute Resolution in the United States: Promoting Social Justice or Merely Delaying the Pain?*, International Conference on Access to Non-Judicial Justice, City University of Hong Kong, Oct. 26-28, 2011.

Moderator, *How Companies, Shareholders, Banks and Boards Use Transformation and Turnaround Experts in Asia* Panel, Asia Transformation and Turnaround Association (ATTA) Second Annual Conference, Hong Kong, Oct. 22, 2011.

Co-Chair, Getting Your Money out from Behind the Great Wall Panel, International Insolvency Institute 11th Annual International Insolvency Conference, Columbia Law School, New York, June 14, 2011.

Speaker, *The Insolvency of Financial Institutions in the People's Republic of China: AMCs to the Rescue*, Insolvency of Financial Institutions Panel, International Bar Association 17th Global Insolvency and Restructuring Conference – Only the Strong Will Survive: Financing and Valuing Distressed Entities, Paris, France May 24, 2011.

Chair & Speaker, Asian Bankruptcy Court Structures and Insolvency Processes: Impetuses or Impediments to Reform? ABA Business Law Section Meeting, Boston, MA, Apr. 15, 2011.

Chair & Speaker, *Corporate Insolvency In Asia: Developing Effective Infrastructures*, Asia Panel, Academics Meeting, INSOL Singapore, Mar. 14, 2011.

Speaker, *The Restructuring of SOEs in the PRC*, International Insolvency Institute, Special Colloquium on Privatization and Insolvency/Restructuring: New Directions for Transforming State-Owned Enterprises in Greece – a meeting with Greek government policy makers, Astir Palace, Athens, Greece, Feb. 5-6, 2011.

Speaker, *Insolvency Law Reform with a focus on Hong Kong, China & Vanuatu*, Presentation of the book “A Global View of Business Insolvency Systems – The state of business insolvency law in the world: a discussion on current developments panel, Insolvency and Creditor/Debtor Regimes Task Force, The World Bank, Washington, DC, Jan. 10, 2011.

Panel Organizer, Moderator and Speaker, Cross-Border Insolvencies, UNCITRAL & Asia: Inbound and Outbound Issue Panel, ABA Business Law Section Meeting, New Orleans, LA, Oct. 14, 2010.

Member, Conference planning committee; Speaker, Asian Insolvency Law Panel; Moderator and Speaker, Cross-Border Insolvency Panel; Speaker, PRC Insolvency Law Panel, ABI Third Hawai'i Bankruptcy Workshop, ABI, IAPBL, Richardson School of Law, University of Hawai'i, & HSBA Bankruptcy Law Section, Big Island, HI, Aug. 11-14, 2010.

Moderator and Speaker, China's New Enterprise Bankruptcy Law After Three Years of Experience: Key Lessons and Principal Challenges, ABA Business Law Section Meeting, Denver, CO, Apr. 23, 2010).

Speaker, *Recent Developments in Chinese and Hong Kong Insolvency Law*, Bankruptcy/Insolvency Panel, Asialaw's 7<sup>th</sup> Annual Asia-Pacific In-house Counsel Summit, Hong Kong, March 16, 2010.

Moderator & Speaker, The New Chinese Bankruptcy Law & Cross-Border Insolvency Issues –US and Chinese Perspectives Panels, Recent Developments in Chinese Commercial Law: Dispute Resolution, Inbound and Outbound Foreign Investment & Insolvency Law, IAPBL, Richardson School of Law, University of Hawai'i, Cadwalader & the China Institute, New York, Oct. 23, 2009.

Keynote Speaker, *Asian Corporate Insolvency Reform Law Reform in the Aftermath of the 1997 Financial Crisis: The Need for the Continued Development of Effective Insolvency Infrastructures*, OECD FAIR, Bangkok, Thailand, July 17, 2009.

Moderator and Commentator, The Seven Deadly Sins of Investing in China Panel, International Insolvency Institute Annual Meeting, New York, June 18, 2009.

Speaker, Everything You Always Wanted to Know About Cross-Border Insolvency Panel & Understanding the Asian Client and Insolvency – A Look Back and Opportunities for the Future Panel, ABA Section of Business Law Spring Meeting, Vancouver, Canada, Apr. 16-18, 2009.

Moderator and Commentator, Understanding Your Options Panel, Survive and Thrive in the Downturn Seminar, University of Hawai'i Shidler College of Business, IAPBL & Hawai'i Technology Development Corp., Honolulu, HI, Jan. 30, 2009.

Speaker, *PRC Insolvency Law*, Restructuring and Investing Conference, Association of Insolvency & Restructuring Advisors (AIRA), Shanghai, China, Sept. 17, 2008.

Speaker, *In Memoriam: Philip St. John Smart 1961-2008* and *Insolvency Law Reform in the South Pacific: A Case Study of Vanuatu* & Chair and Commentator, PRC Insolvency Law Panel, INSOL Shanghai Conference 2008 Academics' Group, Shanghai, China, Sept. 15-16, 2008.

Symposium Organizer and Chair and Commentator, the 2006 PRC Enterprise Bankruptcy Law Panel, The 2006 PRC Enterprise Bankruptcy Law: A New Beginning?, Institute of Asian-Pacific Business Law (IAPBL), William S. Richardson School of Law, University of Hawai'i at Manoa & Asian Institute of International Financial Law (AIIFL), University of Hong Kong Faculty of Law, Hong Kong, Mar. 25, 2008.

Participant, Judicial Panel, and Speaker, Panel Discussion on the New Bankruptcy Law – Cross-Border Issues Faced by Foreign Investment Enterprises, The 11<sup>th</sup> Beijing Economic Cooperation Symposium, Seminar on Beijing- Hong Kong Corporate Restructuring, Merger & Acquisition and Liquidation, Beijing, China, Nov. 6, 2007.

Speaker, *PRC Insolvency Law*, Restructuring & Investing Conference, AIRA, Shanghai, China, Oct. 22, 2007.

Symposium Organizer and Chair and Commentator, Situational Investing in Undervalued Assets Panel, Entrepreneurialism, Risk Assessment, and Private Investment in China Symposium, IAPBL, Richardson School of Law, University of Hawai'i & AIIFL, University of Hong Kong Faculty of Law, Hong Kong, Oct. 18, 2007.

Chair and Commentator, Insolvency Reform In China Panel, International Bar Association (IBA) Singapore 2007 Conference, Singapore, Oct. 16, 2007.

Speaker, *Bankruptcy and IP Rights: Risks and Opportunities*, Law and Strategy, IP, Innovation and the Small Firm in the Global Environment Panel, Global Innovation Challenges and Opportunities: Sustainability, Services and Underserved Markets Conference, GATIC-USA 2007 Global Advanced Technology Innovation Consortium, University of Hawai'i at Manoa Shidler College of Business, Honolulu, HI, Sept. 28, 2007.

Symposium Organizer and Chair and Commentator, Recent Developments in China and Hong Kong Panels, Asian-Pacific Corporate Insolvency Law: Cross-Border and Comparative Perspectives – Inaugural Symposium, Institute of Asian-Pacific Business Law (IAPBL), Richardson School of Law, University of Hawai'i, Halekulani Hotel, Honolulu, HI, Apr. 10-11, 2007.

Speaker, *An Analysis of the New Chinese Bankruptcy Law*, Oxford Conference on Regulating Enterprise: The Regulatory Impact on Doing Business in China, Hong Kong, Sept. 20, 2006.

Distributed paper, Douglas W. Arner, Charles D. Booth, Berry F. Hsu, Paul Lejot, Qiao Liu & Frederick Pretorius, *Property Rights, Collateral and Creditor Rights in East Asia*, World Bank/Hong Kong Monetary Authority Conference on East Asian Financial Markets – The Next Frontier, Hong Kong, June 23, 2006; also distributed at 13<sup>th</sup> Biennial Meeting in Austin, Texas, of the International Academy of Commercial and Consumer Law, Aug. 9-10, 2006.

Speaker, *An Update on Bankruptcy Law Reform in Hong Kong and China: The Race of the Two Tortoises*, Academics Meeting, INSOL Scottsdale 2006, Scottsdale, Arizona, May 21, 2006 (led to the publications in ABA CHINA LAW REPORTER (Nov. 2006) & INSOL ELECTRONIC NEWSLETTER (Jan. 2007)).

Speaker, *Enterprise Reorganisation in Asia: Possible Policy Choices and Approaches*, FAIR 2006 – Legal and Institutional Reforms of Asian Insolvency Systems, Beijing, China, Apr. 27, 2006.

Participant, *Study and Training in Global Comparative and International Insolvency Law*, INSOL Curriculum Development Workshop, INSOL International, London, England, Jan. 11-13, 2006.

Speaker, *The Policies Underlying the October 2004 Draft of the Proposed Chinese Bankruptcy Law, The Draft Bankruptcy Law and Corporate Restructuring in the PRC*, Thomson-Sweet & Maxwell Asia, Hong Kong, June 28, 2005.

Speaker, *Hong Kong Consumer Insolvency Law Reform: The Increasing Use of Payment Plans*, and Participant in a Roundtable with Israeli policy-makers on Reform in Israeli Bankruptcy and Execution of Judgment Laws, Personal Bankruptcy in the 21<sup>st</sup> Century: Emerging Trends and New Challenges, The Cegla Center for Interdisciplinary Research of the Law, Tel Aviv, Israel, June 6 & 8, 2005.

Speaker, *Security, Insolvency & Property Rights*, Property Rights and Financial Development Panel, Law, Culture and Financial Sector Development: A Colloquium of the London Forum for International Economic Law and Development, Shanghai University of Finance and Economics, Shanghai, China, May 14, 2005.

Speaker, *Corporate Rescue in Asia: Developing Effective Insolvency Infrastructures*, Symposium on Risk Management, Informatics Holdings Ltd & Professional Risk Management International Association, Hong Kong, Apr. 1, 2005.

Speaker, *Developing an Advanced Professional Training Course for Insolvency Professionals*, Insolvency Teaching & Research Workshop, University of Southern Queensland, Brisbane, Australia, Mar. 17, 2005.

Co-speaker with Stephen Briscoe, *One Country, Two Systems: Recent Developments in Cross Border Insolvency in Hong Kong and the People's Republic of China*, Academics Meeting, INSOL Sydney 2005, Sydney, Australia, Mar. 12, 2005.

Co-author with Robert McBain & Presenter, *Trends and Developments in Insolvency Systems and Risk Management: The Experience of Hong Kong*, 4<sup>th</sup> Forum on Asian Insolvency Law (FAIR), OECD, World Bank, and ADB, New Delhi, India, November 4-5, 2004 (published in Credit Risk and Credit Access in Asia (OECD, 2006)).

Panelist, Corporate Insolvency Panel, and Commentator, *Latest Developments in PRC Bankruptcy Law*, The 8<sup>th</sup> Beijing-Hong Kong Economic Co-operation Symposium – Seminar on Corporate Restructuring & Liquidation, Beijing-Hong Kong Corporate Restructuring & Liquidation Joint Working Group and HKSA Insolvency Interest Group, Hong Kong, Sept. 3, 2004.

Reporter, Symposium on Building the Financial System of the 21<sup>st</sup> Century: an Agenda for China & the United States, Harvard Law School Program on International Financial Systems & China Development Research Foundation, Beijing, China, June 11-13, 2004.

*Current Status of Insolvency Law Reform Efforts in China*, 4<sup>th</sup> Annual International Insolvency Conference, International Insolvency Institute, New York City, June 8, 2004.

*A Comparison of Provisional Supervision and Chapter 11 of the US Bankruptcy Code,” Sink or Swim? A Seminar on Corporate Rescue and Individual Voluntary Arrangements in Hong Kong*, Ernst & Young, Hong Kong, Dec. 10, 2003.

Commentator, Cross-Border Restructuring: Hong Kong, Mainland China and the Region, AIFFL, University of Hong Faculty of Law & HKSA, Hong Kong, Nov. 22, 2003.

*An Overview of the UNCITRAL Model Law on Cross Border Insolvency, The 7<sup>th</sup> Beijing-Hong Kong Economic Co-operation Symposium – Seminar on Corporate Restructuring, Merger & Acquisition, and Liquidation*, Beijing-Hong Kong Restructuring, Merger & Acquisition and Liquidation Joint Working Group and HKSA Insolvency Interest Group, Beijing, China, Oct. 24, 2003.

*Corporate Rescue in Hong Kong: Provisional Liquidation*, Academics Meeting, INSOL Las Vegas 2003, Las Vegas, NV, Sept. 20, 2003.

*Recent Developments in Corporate and Cross-Border Insolvency Law in Hong Kong and the People's Republic of China*, Committee J Panel, IBA Section on Business Law Conference, San Francisco, CA, Sept. 18, 2003 (posted on the IBA's website at <http://www.ibanet.org/general/FindDocuments.asp>).

*Recent Developments in Corporate and Cross-Border Insolvency Law in Hong Kong, the People's Republic of China, and Vietnam*, Panel on the Asian Recovery: Progress and Pitfalls, World Bank Global Forum on Insolvency Risk Management Standards & Strategies for the Next Decade, Washington, DC, Jan. 28, 2003.

Primary meeting organizer & Co-speaker with Ms. Wendy Chiu, *A Comparison of the Draft Bankruptcy Laws of China and Vietnam*, Academics Meeting & and Speaker on the Proposed New PRC Bankruptcy Law Panel, Lenders Group Technical Meeting, INSOL China 2002, Beijing, China, Oct. 9, 2002.

Primary symposium organizer & Chair and Commentator on the Chinese and Hong Kong Panels, Symposium on Corporate Rescue in China: Chinese and Comparative Perspectives, AIIFL, University of Hong Faculty of Law, Hong Kong, Oct. 7, 2002.

Bankruptcy Expert, conducted two-day Workshop on the Draft Vietnamese Bankruptcy Law and two-day Workshop for the Drafting Committee on the Vietnamese Bankruptcy Law, Hanoi, Vietnam, June 17-21, 2002.

Foreign Expert (one of four) participating in the International Republican Institute & the Finance and Economic Committee of the China National People's Congress, International Forum on the Enterprises Bankruptcy and Reorganization Law, Beijing, China, Apr. 22-28, 2002.

Primary symposium organizer & Chair and Commentator, Hong Kong Developments Panel, Recent Developments in Corporate Insolvency Symposium, AIIFL, University of Hong Kong Faculty of Law, Hong Kong, Apr. 17-19, 2002.

*Cross-Border and International Insolvencies: The Globalisation of Insolvency Procedures: Hong Kong Law*, Sessions of the Insolvency Committee, Inter-Pacific Bar Association (IPBA) 12<sup>th</sup> Annual Meeting and Conference, Hong Kong, Apr. 6, 2002.

*Corporate and Personal Rehabilitation in Hong Kong*, Academics Meeting, INSOL International 6th World Congress, London, England, July 18, 2001 (leading to the publication in Consumer Bankruptcy in a Global Perspective (2003)).

*Hong Kong Bankruptcy Law: Does it Work?* Recent Developments in Consumer Bankruptcy Panel, Joint Meetings of the Law and Society Association and Research Committee on Sociology of Law, Budapest, Hungary, July 6, 2001 (leading to the publication in Consumer Bankruptcy in a Global Perspective (2003)).

*Rights, Powers and Duties of the Debtor and Creditors in Insolvency Proceedings: Hong Kong Law*, Session of the Insolvency Committee, IPBA 11<sup>th</sup> Annual Meeting and Conference, Tokyo, Japan, Apr. 24, 2001 (revised and published in 2 JOURNAL OF INTERNATIONAL BUSINESS LAW 74 (2002)).

*Recent Developments in Hong Kong and Chinese Insolvency Law*, Session of the Working Group on Pacific Rim Insolvencies, American Bar Association (ABA) Section of Business Law Spring Meeting,



Philadelphia, PA., Mar. 23, 2001.

Speaker, *Hong Kong Developments*, Panel on the Identification of Issues Important to Countries about to Reform Insolvency Law, UNCITRAL/INSOL Global Insolvency Colloquium, Vienna, Austria, Dec. 5, 2000.

Primary symposium organizer & co-speaker with Zhang Xian Chu, *Chinese Bankruptcy Law in an Emerging Market Economy: The Shenzhen Experience*, Panel on Chinese Bankruptcy and Reorganization Law: Legal Responses, & Chair and Commentator, Panel on Chinese Cross-Border Insolvency Issues, Chinese Insolvency Law Symposium: Developing an Insolvency Infrastructure, AIIFL, University of Hong Kong Faculty of Law, Nov. 17-18, 2000 (revised and published as 15 COLUMBIA JOURNAL OF ASIAN LAW 1 (2001)).

*Rationalizing the Scope of the Application of Foreign Laws under USBC Section 304: A Consideration of In re Treco and In re Grandote*, Session of the Working Group on Pacific Rim Insolvencies, ABA Section of Business Law Fall Meeting, Boston, MA, Oct. 19, 2000.

*An Overview of Insolvency Procedures and Administration, including the Special Issues of Cross-Border Insolvencies: Hong Kong Law*,” Session of the Insolvency Committee, IPBA 10<sup>th</sup> Annual Meeting and Conference, Vancouver, Canada, Apr. 29, 2000.

Co-reporter with Rabindra Nathan, *Summary of Discussions and Proposals for Reform*, ADB Insolvency Law Reforms Symposium, Manila, Philippines, October 26-27, 1999 (published on the ADB’s website (1999)).

*Hong Kong Cross-Border Insolvency Law: The Need for Greater Cooperation with Mainland China*, Mutual Legal Assistance in Civil and Commercial Matters Panel, Conference on Judicial Assistance between Mainland China and Hong Kong, University of Hong Kong Faculty of Law, Hong Kong, Sept. 7, 1999.

*State Intervention against Fraudulent Companies: Hong Kong Law*, Insolvency and Fraud Panel, IBA Section on Business Law Conference, Copenhagen, Denmark, June 14, 1999 (on the IBA’s website at <http://www.ibanet.org/general/FindDocuments.asp>; led to the publication of 29 HONG KONG LAW JOURNAL 368 (1999)).

*Cross-Border Insolvencies, Comity and Choice of Law, and Insolvencies of Financial Institutions and of Insurance Companies: Hong Kong Law*, Session of the Insolvency Committee, IPBA 9<sup>th</sup> Annual Meeting and Conference, Bangkok, Thailand, May 3, 1999 (led to the publication of 29 HONG KONG LAW JOURNAL 368 (1999)).

Main Presenter, *Update on Insolvency Law Developments in Hong Kong and China*, and General Commentator, Program on Insolvency in the Pacific Rim, ABA Section of Business Law Spring Meeting, San Francisco, CA, Apr. 17, 1999.

*Hong Kong Insolvency Law Reform: Preparing for the Next Millennium*, Academics Meeting & co-presenter with Arnold Quittner, *Avoidance Powers*, INSOL Pacific '99 Conference, Auckland, New Zealand, Feb. 21 & 23, 1999 (revised and published in Norton’s Annual Survey on Bankruptcy (2000) & in JOURNAL OF BUSINESS LAW (2001)).

*Local Study of Insolvency Law Regimes: Report on Hong Kong, China*, and Discussion Leader, Cultural Concerns Panel, ADB Manila Symposium on Insolvency Law Reform, Manila, Philippines, Jan. 25-26, 1999 (published on the ADB website (1999)).

Speaker, *Insolvency of SOEs in China: An Uncertain Path*, Approaches to Enterprise Reform: Insolvency Panel, Session on Transformation of State-Owned Enterprises, Conference on Law & Development in Asia, co-sponsored by Stanford Law School Transnational Business Law Program, University College London Faculty of Law, the University of Hong Kong Faculty of Law, & the Asia

Foundation, University of Hong Kong, Hong Kong Dec. 8, 1998.

*Cross-Border Insolvency and Corporate Reorganizations: Hong Kong Law*, Panel Discussion on International Insolvency, Session of the Insolvency Committee, IPBA 8<sup>th</sup> Annual Meeting and Conference, Auckland, New Zealand, May 4, 1998.

*Hong Kong Cross-Border Insolvency Law*, Session on Cross-Border Insolvency Issues in the Pacific Rim, Committee on Business Bankruptcy Sub-committee on International Bankruptcy, ABA Section of Business Law Spring Meeting, St. Louis, MO, Apr. 4, 1998.

*Recent Developments in Hong Kong Bankruptcy and Corporate Reorganization Law*, Insolvency in the Far East Panel & *Choice of Law Issues in Corporate Transnational Insolvencies: The Hong Kong Perspective*, Choice of Law Panel, Committee J Insolvency and Creditors' Rights, IBA Section on Business Law Conference, New Delhi, India, Nov. 4, 1997 (both papers are on the IBA's website at <http://www.ibanet.org/general/FindDocuments.asp>).

*Case Study on Bankrupt Corporations with Assets in Multiple Jurisdictions: Hong Kong Law*, Panel Discussion on International Insolvency & *Survey of Bankruptcy and Reorganization Law: Hong Kong Law*, Insolvency Regimes in the Pacific Rim Panel, Session of the Insolvency Committee, IPBA 7<sup>th</sup> Annual Meeting and Conference, Kuala Lumpur, Malaysia, Apr. 30 & May 1, 1997.

*Leaping Forward to 1997: Bankruptcy Law Reform in Hong Kong*, Academics Meeting, INSOL International 5th World Congress, New Orleans, LA, USA (Mar. 23, 1997) (revised and published in 6 INTERNATIONAL INSOLVENCY REVIEW 183 (1997)).

*Cross-Border Insolvency Law Hypothetical: Hong Kong Law*, Special Insolvency Presentation Panel, IPBA 6<sup>th</sup> Annual Meeting and Conference, Manila, Philippines, May 2, 1996.

*1997 and Hong Kong Transnational Insolvency Law*, Asia Pacific Economic Law Forum Conference, University of Canberra, Canberra, Australia, Feb. 9, 1996 (revised and published as 7 AUSTRALIAN JOURNAL OF CORPORATE LAW 206 (1997)).

*Transnational Insolvency Law: 1997 and the Need to Reform Hong Kong's Law, & Teaching Comparative Insolvency Law in Hong Kong*, Aspects of Teaching Insolvency Law Panel, Academics Meeting, INSOL Conference Asia Pacific, City University, Hong Kong, Nov. 1, 1995.

*Living in Uncertain Times: The Need to Strengthen Hong Kong's Law Relating to Transnational Insolvency*, 1995 Friedmann Conference – Hong Kong: Financial Center of Asia, Columbia Society of International Law and Columbia Journal of Transnational Law, Columbia Law School, New York City, Mar. 30, 1995 (revised and published as 34 COLUMBIA JOURNAL OF TRANSNATIONAL LAW 389 (1996)).

Main Speaker, *The Transnational Aspects of Hong Kong Insolvency Law*, International Commercial Insolvency Symposium, Southwestern Journal of Law & Trade in the Americas, Southwestern University School of Law, Los Angeles, CA, Apr. 8, 1994 (revised and published as 2 SOUTHWESTERN JOURNAL OF LAW & TRADE IN THE AMERICAS 1 (1995)).

*Recent Developments in Hong Kong Bankruptcy Law Reform*, Committee J Insolvency and Creditors' Rights, IBA Section on Business Law Conference, Hong Kong, Oct. 3, 1991 (on the IBA's website (IBA Reading Room) at <http://www.ibanet.org/general/FindDocuments.asp>) and revised and published as 2 INTERNATIONAL INSOLVENCY REVIEW 120 (1993)).

Panel Member, Bankruptcy Panel, Japan-Hawai'i Lawyers' Association, Honolulu, HI, Aug. 14, 1986.

#### INVITED CONFERENCE AND SYMPOSIA PARTICIPATION:

Member of HKSA delegation participating in Cross-Border Insolvency Seminar with the Beijing Municipal Foreign Economic & Trade Commission, Hong Kong, Nov. 2002 & Beijing, China, Apr. 12, 2001 (led to the publication of 31 HONG KONG LAW JOURNAL 312 (2001)).

Organisation for Economic Co-operation and Development (OECD), Forum for Asian Insolvency Reform (FAIR), Bali, Indonesia, Feb. 7-8, 2001.

World Bank Insolvency Symposium, *Insolvency Systems in Asia: An Efficiency Perspective*, Sydney, Australia, Nov. 29-30, 1999 & *Building Effective Insolvency Systems*, Washington, DC, Sept. 14-15, 1999.

International Law School Deans' Conference on Legal Education for the 21st Century, China University of Politics and Law, Beijing, China, May 25-27, 1999.

RMA-INSOL Lenders' Group Roundtable Discussion for Senior Bankers, Hong Kong, Oct. 23, 1998.

#### SELECTED LECTURES, SEMINARS AND PANELS:

Lunch Speaker, *Responding to the 1997 Asian Financial Crisis: The Development of Insolvency Infrastructures in China, Japan and Hong Kong*, China Seminar & Friends of East-West Center, Honolulu, HI, March 8, 2012.

*Japan & China*, co-teacher with Hon. Allan Gropper, International Bankruptcy Course, Columbia Law School (supported by the American College of Bankruptcy), Feb. 9, 2012 (broadcast live to 12 additional law schools at Arizona, Boston College, Chicago Kent, Georgetown, Illinois, Miami, Michigan, St. John's, USC, Tulane, Utah and Universidad Iberoamericana in Mexico City).

Lecturer, *Corporate Governance Practices in Asia – China*, Accounting 690, International Corporate Governance, Shidler College of Business, University of Hawai'i at Manoa, June 20, 2011.

Panelist, *Attracting the Middle Blingdom: Hawai'i Needs to Increase Tourism and Investment from China*, China to Hawai'i Panel, Reciprocal Investments: Hawai'i to China and China to Hawai'i Seminar, ThinkTech Hawai'i and Hawai'i Venture Capital Assoc., Honolulu, HI, Apr. 28, 2011, rebroadcast on the ThinkTech radio program and on TV by 'Olelo Community Station 54, Hawai'i.

Speaker, *Corporate Insolvency in Asia: Developing Effective Infrastructures in China, Hong Kong and Japan*, East Asian Legal Studies Talk, Harvard Law School, Apr. 15, 2011.

*Corporate Insolvency in Asia: Developing Effecting Insolvency Infrastructures in China and Japan*, co-teacher with Hon. Allan Gropper, International Insolvency Course, American College of Bankruptcy & Columbia Law School, Feb. 10, 2011 (broadcast live to Boston College, Georgetown, Tulane and Utah Law Schools).

Lecturer, *Corporate Governance Practices in Asia – with a Focus on China*, Accounting 690, International Corporate Governance, Shidler College of Business, University of Hawai'i at Manoa, June 2, 2010.

*Corporate Rescue in Asia: Developing Effective Insolvency Infrastructures*, International Bankruptcy Course, American College of Bankruptcy & Columbia Law School, Mar. 11, 2010.

*The International Aspects of Chinese Bankruptcy Law and Reform*, Professor Jerome Cohen's Chinese Attitudes Toward International Law Seminar, NYU Law School, Mar. 8, 2010).

*Corporate Rescue in Asia: Developing Effective Insolvency Infrastructures*, International Bankruptcy Course, American College of Bankruptcy & NYU Law School, Feb. 26, 2009.

*Resolving Cross-Border Insolvency Disputes in China*, Professor Jerome Cohen's Settling International Business Disputes with China Course, NYU Law School, Feb. 23, 2009.

*Corporate Rescue in Asia: Developing Effective Insolvency Infrastructures*, International Bankruptcy Course, American College of Bankruptcy & NYU Law School, NYU, Apr. 3, 2008.

*Corporate Rescue in China: Developing Effective Insolvency Infrastructures*, Center for Chinese Studies, University of Hawai'i at Manoa, Mar. 4, 2008.

*The Development of Insolvency Infrastructures in China*, Jiao Tong University, Shanghai, China, Oct. 24, 2007.

*Corporate Rescue in Hong Kong, the PRC and the United States: A Comparative Perspective*, Shantou University, Shantou, China, June 6, 2007.

*Resolving Cross-Border Insolvency Disputes in China*, Professor Jerome Cohen's Settling International Business Disputes with China Course, NYU Law School, Mar. 21, 2007.

Speaker, *Corporate Rescue in Asia: Developing Effective Insolvency Infrastructures*, East Asian Legal Studies Talk, Harvard Law School, Oct. 18, 2006.

Lecturer, *Corporate Rescue in Asia: Developing Effective Insolvency Infrastructures*, Course ASAN 600C, University of Hawai'i at Manoa, Sept. 22, 2006.

Lecturer, *The 2004 Draft of the New Chinese Bankruptcy Law*, PRC Security and Insolvency Law Course, LLM Programme, University of Hong Kong, Apr. 25, 2006.

Speaker, *Corporate Rescue in Hong Kong, the PRC, and the United States: A Comparison*, Hong Kong Bankers' Association, Aug. 25, 2005.

Speaker, *Corporate Rescue in Asia: Developing Effective Insolvency Infrastructures*, Duke University School of Law-HKU Law Faculty Institute in Transnational Law, Hong Kong, July 7, 2005.

Speaker, *Corporate Rescue in Asia: Developing Effective Insolvency Infrastructures*, Arison School of Business, IDC Herzliya, Israel, June 9, 2005.

Speaker, *Corporate Rescue in Asia: Developing Effective Insolvency Infrastructures*, Shanghai University of Finance and Economics, Shanghai, China, May 16, 2005 & Jiao Tong University, Shanghai, China, May 18, 2005.

Lecturer, *Corporate Rescue in Asia: Developing Effective Insolvency Infrastructures in the Aftermath of the Asian Financial Crisis*, The Ross Parsons Centre of Commercial, Corporate and Taxation Law, School of Law, University of Sydney, Australia, Mar. 10, 2005.

Speaker, *An Overview of Insolvency Law Developments in Hong Kong: 1989 to the Present*, Lunch Seminar, Pacific Asian Legal Studies Organization, Richardson School of Law, University of Hawai'i. Apr. 8, 2004.

Lecturer, *A Comparison of Chapter 11 and Provisional Supervision and an Introduction to US Cross-Border Insolvency Law*, Corporate Restructuring and Insolvency Class, The Chinese University of Hong Kong School of Accountancy, Mar. 10, 2004.

*Avoidance Powers in Hong Kong Insolvencies: A Real Threat or Paper Tigers?* The Society of Chinese Accountants and Auditors, Hong Kong, Mar. 3, 2004.

*Corporate Rescue in Hong Kong: A Comparison of Provisional Supervision and Chapter 11 of the US Bankruptcy Code*, Insolvency Interest Group, Hong Kong, Feb. 18, 2004.

Lecturer, *The Risk of Dealing with State-Owned Enterprises: Insolvency in China*, International Business Transactions with China and East Asia Course, NYU Law School, Feb. 5, 2003.

Lecturer, *Developing an Effective Insolvency Infrastructure in Asia*, International Insolvency Course, Fordham Law School, NY, Feb. 3, 2003.

Lecturer, *Insolvency Law Developments in China and East Asia*, The London Forum for International Economic Law and Development, Centre for Commercial Law Studies, Queen Mary, University of London, UK, June 5, 2002.

Lecturer, *Implications of the US Adoption of the UNCITRAL Model Law on Cross-Border Insolvency*, Korean Insolvency Law Study Group, Seoul, Korea, May 10, 2002.

Speaker, *US Bankruptcy and Reorganization Law: Structural Bargaining Perspectives – An Asian Perspective*, Korean Development Institute Corporate Affairs Staff Seminar, Seoul, Korea, May 10, 2002.

Moderator and Commentator, Securitisation Panel, *Securitisation in Asia: Developments and Outlook* AIIFL, University of Hong Kong Faculty of Law & the Duke University Global Capital Markets Center, Hong Kong, Mar. 13, 2002.

Lecturer, *Informal Out-of-Court Restructuring in East and Southeast Asia – with Special Reference to State-Owned Enterprises in China*, Seminar on Law & Development in the Third World, University of Wisconsin Law School, Madison, WI, Nov. 13, 2001.

Speaker, *International Bankruptcy: Hong Kong and PRC Law*, Japanese Institute of International Business Law, Tokyo, Japan, Apr. 27, 2001.

Speaker, *Responding to the Asian Financial Crisis: The Need to Build Effective Insolvency Systems*, Harvard Asian Law Society, Harvard Law School, USA, Oct. 17, 2000.

Speaker, *Corporate Rescue in Hong Kong*, Tokyo Bar Association, Tokyo, Japan, May 29, 2000.

Speaker, *International and Cross-Border Insolvency Issues for Japanese Companies: Hong Kong and PRC Perspectives*, Japanese Institute of International Business Law, June 2, 2000 & University of Tokyo, Japan, June 1, 2000.

Co-speaker with Philip Smart, *Provisional Supervision and the Companies (Amendment) Bill 2000*, Insolvency Interest Group, Hong Kong, Mar. 27, 2000.

Speaker, *Recent Developments in Hong Kong Insolvency Law*, Hawai'i Bar Association Bankruptcy Round Table, Honolulu, HI, Dec. 14, 1999.

Co-speaker with Philip Smart, *Retroactivity and Insolvency Law: Beyond Setaffa*, Insolvency Interest Group, Hong Kong, June 29, 1999.

Lecturer, *Hong Kong Cross-Border Insolvency Law*, China University of Politics and Law, Beijing, China, May 24, 1999.

Speaker, *Recent Developments in Hong Kong Insolvency Law*, Faculty Lunch, Santa Clara University School of Law, CA, Apr. 14, 1999.

Co-speaker with Arnold Quittner, *Extraterritoriality and US Cross-Border Insolvency: Issues for Hong Kong Liquidators and Creditors*, Insolvency Interest Group, Hong Kong, Mar. 12, 1999.

Organizer and Moderator of Roundtable on the Role of the Judiciary, featuring Justice Anthony M.

Kennedy of the US Supreme Court, Faculty of Law, University of Hong Kong, Hong Kong, Feb. 4, 1999.

Boardroom Briefing, Recent Developments in Hong Kong Insolvency Law, Dibbs Crowther & Osborne, Sydney, Australia. Feb. 15, 1999.

Speaker, *Developing a Research and Publication Strategy*, 'Thinking about Research' Seminar, Dept. of Professional Legal Education Staff Seminar, University of Hong Kong, Oct. 27, 1998.

Speaker, *Bankruptcy Law Reform: The Bankruptcy (Amendment) Ordinance 1996*, Insolvency Interest Group, Hong Kong, Feb. 9, 1998.

Speaker, *From 1897 to 1997: Hong Kong Bankruptcy Law Reform*, Staff Seminar, Faculty of Law, University of Hong Kong, Apr. 17, 1997.

Speaker, *Hong Kong & 1997: The Perils of Foreign Investment*, Tennessee Wesleyan College, Mar. 21, 1997.

Speaker, *Recent Developments in Hong Kong Transnational Insolvency Law*, Staff Seminar, Faculty of Law, University of Hong Kong, Jan. 16, 1996.

Lecturer, *The Effect of 1997 on Hong Kong Transnational Insolvency Law*, Faculty Lunch, Nov. 15, 1995 & *Hong Kong and 1997: The Impact of the Transition to Chinese Rule*, Duke International Law Society, Duke University School of Law, NC, Nov. 13, 1995.

Lecturer, *Fostering Co-operation between the United States and Hong Kong in Transnational Insolvencies*, Official Receiver's Office, Hong Kong, Mar. 18, 1994.

Co-lecturer with Carole J. Petersen, *Looking Towards 1997: Perspectives on the Current and Future Legal Status of Hong Kong*, Cardozo Asian Law Students Association and Cardozo International Law Society, Cardozo School of Law, NY, Dec. 2, 1992.

Lecturer, *Hong Kong Insolvency Law and/or Cross-Border Insolvency Law*, in the annual Santa Clara University School of Law Summer Law Study Program, University of Hong Kong, 1990-2007.

Lecturer, *The Rise and Fall of Drexel*, Staff Seminar, Faculty of Law, University of Hong Kong, Apr. 12, 1990.

Lecturer, *Legal Aspects of US Insolvency Law*, The Japanese Institute of International Business Law, Inc., Tokyo, Japan, Dec. 19, 1989.

Lecturer, *An Introduction to Chapter 13 of the US Bankruptcy Code*, Faculty of Law, Hiroshima University, Hiroshima, Japan, May 26, 1988.

Lecturer, *Architecture, Law, and Visions of Man: Teaching Jurisprudence through Architecture Slides*, Architecture Course 192 & Jurisprudence Class, Richardson School of Law, University of Hawai'i, Mar. 1, 1988.

Lecturer, *Some Aspects of Chapter 11 of the US Bankruptcy Code*, Faculty of Law, University of Hong Kong, July 10, 1987 & Nihon University, Tokyo, Japan, June 24, 1987.

Lecturer, *American Legal Practice*, Faculty of Law, Hosei University, Tokyo, Japan, June 20, 1987.

Lecturer, *Recent Cases under Chapter 11 of the United States Bankruptcy Code*, Osaka Lawyers' Group, Osaka, Japan, June 10, 1987.

Organizer and Moderator of Panel, *Styles of Practice: Wall Street, Washington, and Silicon Valley*,

Richardson School of Law, University of Hawai`i, Mar. 2, 1987.

#### SELECTED CLE AND PROFESSIONAL COURSE LECTURES AND SEMINARS:

Lecturer and workshop speaker on Hong Kong insolvency topics, including corporate rescue, cross-border insolvency, provisional liquidation, and bankruptcy, HKICPA & HKSA Diploma Course in Insolvency, Hong Kong, 2001-02, 2002-03, & 2003-04.

Speaker, *The Restructuring of State-Owned Enterprises*, PRC Bankruptcy Laws and Procedures CLE Session, Courses and Seminars Ltd., Hong Kong, Jan. 23, 2002.

Co-speaker with Arnold M. Quittner, *Cross-Border Insolvency Issues: US and Hong Kong Law*, Advanced CLE Seminar, The Law Society of Hong Kong, June 27, 2001, May 24, 2000, Oct. 21, 1999, Nov. 2 & Mar. 13, 1998, Nov. 10 & May 5, 1997, & Oct. 7, 1996.

Speaker, *Workouts, Standstills, and Provisional Supervision*, Current Insolvency Issues in Hong Kong, HKU/Space MindTheme, May 10, 2001.

Lecturer, *Transnational Insolvency Law: An Analysis of a United States Court's Refusal to Recognize Australian Insolvency Proceedings*, Law Society of Hong Kong and Queensland Law Society, CLE Conference, Hong Kong, Mar. 19, 1991.

Seminar Faculty Member, Hawai`i Secured Transactions Seminar, CLE Seminar, Professional Education Systems, Honolulu, HI, Mar. 18, 1987.

#### PHD EXAMINATIONS AND EXTERNAL SUPERVISORY AND ACADEMIC ADVISORY POSITIONS:

Member, PhD Dissertation Committee for Kristan Skylar Powell, *International Diversification and Professional Service Firms*, Shidler College of Business, University of Hawai`i at Manoa, June 2010-2011.

Examiner for Jason Corbett's SJD thesis, *Twelve Years to Sharpen One Sword, but Can It Cut? Does China's New Bankruptcy Law Provide Adequate Protection for Foreign Creditors?*, Law School, La Trobe University, May 2010.

Member, PhD Dissertation Committee for Joshua Cieslewicz, *Culture and Accounting: Ramifications for Fraud Prevention, the Development of International Accounting & Reporting*, Shidler College of Business, University of Hawai`i at Manoa, Sept. 2009-June 2010.

LLM paper supervisor, Yoon Joo Chung, *A Case for Reform: Replacing the Corporate Rescue Processes in Singapore with a Chapter 11 Rehabilitative Regime*, Harvard Law School, 2006-07.

Third-year paper supervisor and examiner, Elliot Adler, *Reorganization Provisions of China's Draft Bankruptcy Law*, Harvard Law School, 2005-06.

PhD Co-Supervisor, Yue Yueting, *Foreclosure of Mortgaged Collateral Amid PRC Bankruptcy Proceedings*, Faculty of Law, University of Hong Kong, 2005-2006.

Associate External Academic Advisor, City University of Hong Kong, for Company Law I & II (LLB & JD) & Commercial Law (LLB & JD), Nov. 1, 2004-Sept. 30, 2012 & Sales & Sales Law Finance in Hong Kong (LLB), Nov. 1, 2004-Sept. 30, 2008.

External Examiner for Judith Wade's PhD thesis, *The Interrelationship of Private International Law Principles and International Corporate Insolvency*, Faculty of Law, University of Melbourne, Mar. 2003.

Second Examiner for Davy Wu's PhD thesis, *Provisional Supervision: An Analysis of the Proposed*

*Corporate Rescue Procedures of Hong Kong*, Faculty of Law, University of Hong Kong, Nov. 2002.

#### EDITORIAL AND JOURNAL ACTIVITIES:

Member, Editorial Board Committee, INSOL International Electronic Newsletter (Apr. 2006-Mar. 2008).

Member, Editorial Board, International Insolvency Review (Jan. 2005-Present).

Member, Editorial Committee, HONG KONG LAW JOURNAL (Jan. 1994-Dec. 2003; Co-editor, Comment and Analysis Sections, Jan. 1994-Dec. 1997; Articles Co-editor, Mar. 2000-Dec. 2003).

Hong Kong Correspondent, RECEIVERS, ADMINISTRATORS & LIQUIDATORS QUARTERLY (1994).

#### PROFESSIONAL ACTIVITIES:

#### INTERNATIONAL:

Co-Chair, International Bankruptcy Sub-Committee, (ABA Section of Business Law, Business Bankruptcy Committee) (Jan. 2012-Present).

Member, Panel of Judges, First Annual Asian Transformation and Turnaround Association (ATTA) Transformation and Turnaround Awards, Oct. 22, 2011.

Member, INSOL Technical Research Committee (September 2009-August 2011).

Member INSOL International Global Insolvency Practice Course Advisory Committee (Feb. 19, 2009-Present).

Member, INSOL International Fellowship Funding Allocation Panel (July 2008-June 2010).

Chair, Asia-Pacific Insolvency Working Group (ABA Section of Business Law, Business Bankruptcy Committee) (Apr. 2008-2011).

Member, INSOL International Academics' Steering Committee (Feb. 2007-Present).

Member, ABA Section of International Law, China Committee Working Group (Aug. 2007-Present).

Chair, ABA Section of Business Law, Business Bankruptcy Committee Working Group on Pacific Rim Insolvencies (Aug. 2006-Mar. 2008) (Member, Apr. 1998-Present; Vice Chair, June 1999-Aug. 2006).

Member, Working Group on the Development of the INSOL Diploma in Global Comparative and International Insolvency, INSOL International (2006) (the world's first Diploma in Global Comparative and International Insolvency).

Member, Turnaround Management Association (TMA) Steering Committee for the planned Hong Kong Chapter (July-Dec. 2005).

Member of Organizing Committee for INSOL China 2002 & Co-ordinator for Academics Meeting Oct. 2002); Academic Co-ordinator for Asia, Academics Meeting, INSOL International 5th World Congress, New Orleans, LA, USA (Mar. 1997).

Vice-Chair, Insolvency Committee, Inter-Pacific Bar Association (2002-2003).

Hong Kong Representative, IBA Committee J Subcommittee on Bankruptcy Treaties (1991).

#### HONG KONG:



Member, Public Affairs Forum, Hong Kong Affairs Bureau online consultative forum (Nov. 8, 2011-Present).

Vice Chairman, AIIFL Academic Advisory Board (August 1, 2007 to present) (Member, Mar. 1, 2006-Present).

Member, Deposit Protection Appeals Tribunal Panel (Jan. 14, 2005-Jan. 13, 2011).

Member, Securities and Futures Commission Academic and Accreditation Advisory Committee (Feb. 19, 2003-Feb. 18, 2005).

Member, The Law Society of Hong Kong CPD Accreditation Sub-Committee (Mar. 2002-Dec. 2005).

Member, Hong Kong Inland Revenue Board of Review (July 1, 2001-June 30, 2004) (sat on review panels for two hearings).

Member, Panel of Judges for the Asian Legal Business Awards (2002, 2003).

Member, The Law Reform Commission of Hong Kong Sub-Committee on Debt Collection (Nov. 1998-June 2002).

Assessor, RGC Competitive Earmarked Research Grants, Hong Kong Research Grants Council (2002, 2006).

Member, The Law Society of Hong Kong Commercial Law Committee (July 1997-June 2002).

Member, The Law Society of Hong Kong Foreign Lawyers Admission Examination Convenors Panel (Co-Convenor, Commercial and Company Law Panel) (Oct. 1993-June 1994).

Member, Hong Kong Society of Accountants (HKSA) Insolvency Practitioners Committee (IPC) (Feb.-Dec. 2001; Jan. - Dec. 2003).

Member, HKSA Expert Panel on Insolvency (Jan.-Dec. 2002).

Member, HKSA IPC Ad Hoc Working Group on the Companies (Amendment) Bill 2000 (Feb.-Apr. 2000).

#### UNIVERSITY OF HAWAII:

Member, Advisory Board, Shidler College of Business, Center for International Business Education and Research (CIBER) (Nov. 1, 2007-Oct. 31, 2011).

Member, Tenure and Promotion Review Committee (Spring 2008, Spring 2012)

Member, Executive Committee, Center for Chinese Studies (Aug. 1, 2007 to July 31, 2009), Member, By-Laws Committee (Feb. 1-June 30, 2007), and Member (Feb. 23, 2006-Present).

#### MEMBERSHIP IN HONORARY ASSOCIATIONS:

Member, International Academy of Commercial and Consumer Law. (Aug. 2006-Present).

Founding Member, International Insolvency Institute (Dec. 2000-Present).

Foreign Fellow, American College of Bankruptcy (Mar. 2000-Dec. 2005); Fellow (Jan. 2006-Present).

#### PROFESSIONAL MEMBERSHIPS:

Member, New York Bar (1985); New Jersey Bar (1986).

American Bar Association (1985); International Bar Association (1987); INSOL (1997); American Bankruptcy Institute (1997); Hong Kong Insolvency Interest Group (1997); Inter-Pacific Bar Association (1998).

COMMUNITY ACTIVITIES:

Member, United Jewish Congregation Shorashim School Board (Sept. 1999-June 2000).

Class Agent, Harvard Law School (1998-2005).

Member, Prince Philip Scholarships Selection Committee (for Cambridge University) (1996-1999; 2003-2004).

Alumni Interviewer, Yale University (1983-1984; 1986-1990; 2004-2005, 2006-2007).

Class Agent, Yale University (1981-1996).

Vice President, Yale Club of Hong Kong (1990-1991).

Member, Board of Directors, Yale Club of Hawai`i (1987-1989).

7 April 2012